

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0661-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/761,029	
	Filing Date	Jan 16, 2001	
	First Named Inventor	Yang Gao	
	Group Art Unit	2644	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	386	Attorney Docket Number	01CON336P

RECEIVED
OCT 28 2002

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 2. Copy of 5 publications 3. Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farshad Farjami, Esq. Reg. No. 41014 Farjami & Farjami LLP
Signature	
Date	October 18, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: October 18, 2002			
Type or printed name	Lori Glave	Date	October 18, 2002
Signature		Date	October 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

3-1/3



Patent
01CON336P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Yang Gao)	Group Art Unit: 2644
)	
Serial No.: 09/761,029)	Examiner: Unknown
)	
Filed: January 16, 2001)	
)	
For: System of Dynamic Pulse Position)	
Tracks for Pulse-Like Excitation in)	
Speech Coding)	
)	
)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Box IDS
Washington, D.C. 20231

RECEIVED

OCT 2 8 2002

Technology Center 2000

Sir:

In accordance with 37 C.F.R. 1.56 and 1.97, Applicant hereby discloses to the Patent Office patents, publications or other information of which Applicant is aware. A copy of the patents and other materials along with a Form 1449 are submitted herewith.

The items identified in this Information Disclosure Statement may or may not be "material" as defined in 37 C.F.R. 1.56 and the submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to is material or considered to be material (37 C.F.R. 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. 102 with respect to the present invention unless specifically designated by Applicant as such. Identification of any reference or patent herein is not an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.


The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. 1.56, exists.

In accordance with 37 C.F.R. 1.97(b)(3) no fee is enclosed, since the Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

The Assistant Commissioner is hereby authorized to charge or credit Deposit Account Number 50-0731 for any deficiency or overpayment in the fees required for the filing of this Disclosure Statement, for which purpose a duplicate copy of this paper is also included.

Respectfully Submitted;
Farjami & Farjami LLP

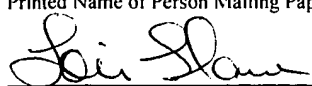
Dated: 10/18/02

By: 
Farshad Farjami
Reg. No. 41,014
Attorneys for Applicant

Farshad Farjami
FARJAMI & FARJAMI LLP
16148 Sand Canyon
Irvine, CA 92618
Tel: (949) 784-4600
Fax: (949) 784-4601

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner of Patents, Box IDS, Washington, D.C. 20231

Date of Deposit: 10/18/02

Lori Llave
Printed Name of Person Mailing Paper and/or Fee
 10/18/02
Signature Date

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 01CON336P	SERIAL NO. 09/761,029
	APPLICANTS: Yang Gao	
	FILING DATE: January 16, 2001	GROUP ART: 2644

U.S. PATENT DOCUMENTS

Exam. Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA						
	AB						
	AC						

RECEIVED

OCT 28 2002

FOREIGN PATENT DOCUMENT

Technology Center 2600

Exam. Initials		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	BA	EP 0 939 394 A1	9/1/99	EP				
	BB	EP 0 926 660 A2	6/30/99	EP				
	BC	EP 1 083 547 A1	3/14/01	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	CA	WO 00 54258 A 14 September 2000
	CB	WO 00 11657 A 2 March 2000
	CC	

EXAMINER:	DATE CONSIDERED:
<p>EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.</p>	
Information Disclosure Statement -- Section 9 PTO-1449	
Page <u>1</u> of <u>1</u>	